

862.C2198



PATENT APPLICATION

2614
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)	
TOSHIYUKI NAKAGAWA)	Examiner: Not Yet Assigned
Application No.: 09/832,981)	Group Art Unit: 2614
Filed: April 12, 2001)	
For: INFORMATION PROCESSING)	October 23, 2001
APPARATUS AND METHOD)	

Commissioner for Patents
Washington, D.C. 20231

RECEIVED
OCT 26 2001
Technology Center 2600

INFORMATION DISCLOSURE STATEMENT

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed Form PTO-1449. Copies of the listed documents are also enclosed, together with a European Search Report citing them.

European patent application EP 0 969 668 A2 is an English language counterpart of cited Japanese patent document JP2000083022.

STATEMENT UNDER 37 C.F.R. § 1.97(e)

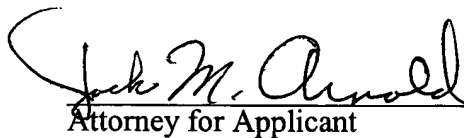
Each item of information in this information disclosure statement was first cited in any communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing date of this Statement.

CONCLUSION

It is respectfully requested that the above information be considered by the Examiner and that a copy of the enclosed Form PTO-1449 be returned indicating that such information has been considered.

Applicant's undersigned attorney may be reached in our New York office by telephone at (212) 218-2100. All correspondence should continue to be directed to our address given below.

Respectfully submitted,



Attorney for Applicant

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FORM PTO 1449 (modified) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE LIST OF REFERENCES CITED BY APPLICANT(S) (Use several sheets if necessary)				ATTY DOCKET NO. 862.C2198		APPLICATION NO. 09/832,981	
				APPLICANT Toshiyuki Nakagawa			
				FILING DATE April 12, 2001		GROUP 2614	

U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT
	EP	0969668A23	01/05/00	EPO	HO4N	7/24	
	EP	0763936A2	03/19/97	EPO	HO4N	5/913	
	EP	0715246A11	06/05/96	EPO	G06F	1/00	
	WO	99/48296	09/23/99	PCT	HO4N	7/167	
	WO	97/28649	08/07/97	PCT	HO4N	7/167	
	JP	2000083022	03/21/00	JAPAN	HO4N	007/24	Yes

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)		
		"White Paper On The Secure Digital Music Initiative SDMI", N. Rump et al., March 1999, pp. 1-11, XP002176222
		"N85-Compound AV Objects and IPMP-Questions To Be Asked", ISO/TC 46/SC 9/WG1:, 25 Feb 99, XP002176223, pp. 1-12
		"Managing Intellectual Property Identification and Protection Within MPEG-4", ISO/TC 46/SC 9/, 8 Nov 97, pp. 1-8, XP002171214.
		"MPEG-4 Intellectual Property Management & Protection (IPMP) Overview & Applications", International Organization For Standardization, Dec. 98, pp 1-8, XP002155818.

EXAMINER	DATE CONSIDERED
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.